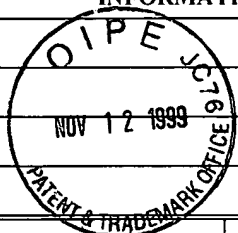
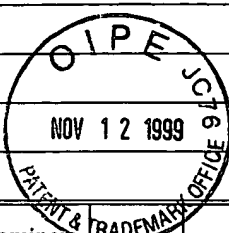
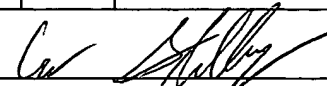


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U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 1004-3631			
RECEIVED				Application No.: 09/368,507			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s): David L. Wood et al.			
(Use several sheets if necessary)				Filing Date: August 5, 1999			
GROUP 2700				Group Art Unit: 2766			
				Date Submitted: November 10, 1999			
U.S. Patent Documents							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
CS	AR	"X/Open Single Sign-On Service (XSSO) - Pluggable Authentication Modules," <i>Open Group Preliminary Specification</i> , P702 ISBN 1-85912-144-6, June 1997, 140 pages.					
CS	AS	"Kerberos: An Authentication Service for Computer Networks," USC/ISI Technical Report number ISI/RS-94-399, 1994, <i>IEEE Communications Magazine</i> , Vol. 32, No. 9, pages 33-38, September 1994, http://nii.isi.edu/publications/kerberos-neuman-tso.html , 12 pages.					
CS	AT	"A White Paper on Authentication and Access Management Issues in Cross-organizational Use of Networked Information Resources," Clifford Lynch, editor, <i>Coalition for Networked Information</i> , April 14, 1998, http://www.cni.org/projects/authentication/authentication-wp.html , 28 pages.					
Examiner <i>Cw Shultz</i>		Date Considered <i>3/11/2003</i>					
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>							



U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 1004-3631				
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
CS	AR	"AutoSecure SSO, The single-point information security solution for heterogeneous environments," <i>PLATINUM Technology Brochure</i> , downloaded May 3, 1999, http://www.platinum.com/products/brochure/sm/autosso/ssobroch.htm , 5 pages.						
CS	AS	D. Davis and R. Swick, "Workstation Services and Kerberos Authentication at Project Athena," <i>MIT Laboratory for Computer Science Technical Memorandum #424</i> , March 17, 1989, 12 pages.						
CS	AT	Don Davis, "Kerberos Plus RSA for World Wide Web Security," <i>Proc. 1st USENIX Workshop on Electronic Commerce</i> , NYC, August 3, 1995, 4 pages.						
Examiner <i>CS Kelly</i>		Date Considered <i>3/11/2003</i>						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 1004-3631				
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				Filing Date: August 5, 1999				
				Group Art Unit: 2766				
				Date Submitted: November 10, 1999				
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
CS	AR	D. Geer and D. Davis, "Token-Mediated Certification and Electronic Commerce," <i>Proc. 2nd USENIX Workshop on Electronic Commerce</i> , Oakland, CA, November 1996, 11 pages.						
CS	AS	"Enterprise Single Sign-On, Definitions and Approaches," <i>PLATINUM Technology White Paper</i> , downloaded May 3, 1999, http://www.platinum.com/products/wp/wp_sso.htm , 7 pages.						
CS	AT	"How Does PATROL CGI Server Work?" BMC Software, Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/how_itworks.html , 3 pages.						
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Attorney Docket No.: 1004-3631

NOV 15 1999

Application No.: 09/368,507

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant(s): David L. Wood et al.

(Use several sheets if necessary)

Filing Date: August 5, 1999

Group Art Unit: 2766

Date Submitted: November 10, 1999

U.S. Patent Documents

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CS	AR	"How to Develop a Network Security Policy, An Overview of Internetworking Site Security," <i>Sun Microsystems White Paper</i> , Palo Alto, CA, downloaded April 20, 1999, http://www.sun.com/security/sec.policy.wp.html , 23 pages.
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CS	AT	<u>Mastering Security on the Internet for Competitive Advantage</u> , Sun Microsystems, Palo Alto, CA, August 1997, 47 pages.

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Date Considered

3/11/2003

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Attorney Docket No.: 1004-3631

NOV 15 1999

Application No.: 09/368,507

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

GROUP 2700

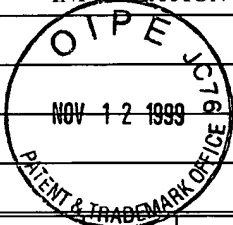
Applicant(s): David L. Wood et al.

(Use several sheets if necessary)

Filing Date: August 5, 1999

Group Art Unit: 2766

Date Submitted: November 10, 1999



U.S. Patent Documents

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Foreign Patent Documents

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CS	AR	Ari Medvinsky et al., "Public Key Utilizing Tickets for Application Servers (PKTAPP)," <i>Cybersafe Corporation INTERNET-DRAFT</i> , Common Authentication Technology Working Group, Issaquah, WA, March 1998, http://www.ietf.org/internet-drafts/draft-ietf-cat-pktapp-01.txt , 6 pages.
CS	AS	"Optimizing Internet/Intranet Services with PATROL CGI Server," <i>BMC Software White Paper</i> , Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/cgawp.html , 15 pages.
CS	AT	"PLATINUM AutoSecure Single Sign On (AutoSecure SSO)," <i>PLATINUM Technology Fact Sheet</i> , downloaded May 3, 1999, http://www.platinum.com/products/factsht/assso_fs.htm , 3 pages.

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Date Considered

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Attorney Docket No.: 1004-3631

NOV 15 1999

Application No.: 09/368,507

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

GROUP 2700

Applicant(s): David L. Wood et al.

(Use several sheets if necessary)

Filing Date: August 5, 1999

Group Art Unit: 2766

Date Submitted: November 10, 1999

U.S. Patent Documents

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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Foreign Patent Documents

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	AM							
	AN							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AR	"Products Application Server," Art Technology Group Product Overview Developer Workbench, downloaded April 21, 1999, http://www.atg.com/products/das/das_main.html?didframe=true , 4 pages.
CS	AS	"TrustBroker™ Security Server, The Only Multi-Mechanism Authentication Server," CyberSafe Corporation, Issaquah, WA, 1999, 2 pages.
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3/11/2003

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U.S. Department of Commerce, Patent and Trademark Office

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Attorney Docket No.: 1004-3631

Application No.: 09/368,507

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant(s): David L. Wood et al.

(Use several sheets if necessary)

Filing Date: August 5, 1999

Group Art Unit: 2184

Date Submitted: December 12, 2000

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
CS	AA	5,875,296	Feb. 23, 1999	Shi et al.	395	188.01	
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CS	AL	96/42041	27 Dec. 1996	WO				
CS	AM	98/25373	11 Jun. 1998	WO				
CS	AN	98/57247	17 Dec. 1998	WO				
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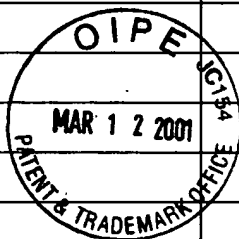
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

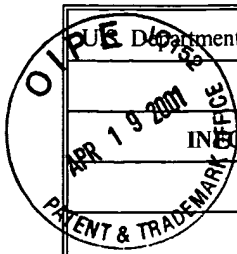
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U.S. Department of Commerce, Patent and Trademark Office					Attorney Docket No.: 004-3631			
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(Use several sheets if necessary)					Filing Date: August 5, 1999			
					Group Art Unit: 2184			
					Date Submitted: March 8, 2001			
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CS	AA	5,826,014	Oct. 20, 1998	Coley et al.	395	187.01		
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CS	AR	Hubbard, S. D. et al., "Firewalling the Net" BT Technology Journal, BT Laboratories, GB, vol. 15, no. 2, April 1997, XP000703560, ISSN: 1358-3948, pages 94-106.						
CS	AS	Doty, Ted, "A Firewall Overview", ConneXions, vol. 9, no. 7, 1 July 1995, XP000564023, ISSN: 0894-5926, pages 20-23.						
	AT							
Examiner		CS		Shelly		Date Considered 3/11/2003		
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Department of Commerce, Patent and Trademark Office	Attorney Docket No.: 004-3631
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application No.: 09/368,507
(Use several sheets if necessary)	Applicant(s): David L. Wood et al.
	Filing Date: August 5, 1999
	Group Art Unit: 2184
	Date Submitted: April 17, 2001

U.S. Patent Documents

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CS	AL	0 465 016 A	Jan. 8, 1992	EP	 			
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CS	AS	R. Ganesan, "Yaksha: Augmenting Kerberos with Public Key Cryptography", <i>Proceedings of the Symposium on Network and Distributed System Security</i> , pp. 132-143, Feb. 16, 1995, IEEE, XP002148503
CS	AT	W. Stallings, "IPV6: The New Internet Protocol", <i>IEEE Communications Magazine</i> , IEEE Service Center, Piscataway, N.J., US, vol. 34, no. 7, July 1, 1996, pp. 96-108 XP000623747, ISSN: 0163-6804

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